

**Assignment 1** (*Well-founded orderings*) (12 + 5 = 17 points)

**Part (a)** Prove: If  $>$  is a well-founded strict partial ordering on a set  $M$  and if  $b$  is the only element of  $M$  that is minimal in  $M$ , then  $b$  is the smallest element of  $M$ .

**Part (b)** Give an example of a strict partial ordering  $>$  on a set  $M$  and an element  $b \in M$  such that  $b$  is the only element of  $M$  that is minimal in  $M$  but not the smallest element of  $M$ .

**Assignment 2** (*Algebras*) (12 points)

Let  $\Sigma = (\Omega, \Pi)$  be a first-order signature with  $\Omega = \{b/0, f/1\}$  and  $\Pi = \{P/1\}$ . Let  $F$  be the  $\Sigma$ -formula

$$\neg P(b) \wedge P(f(f(b))) \wedge \forall x (P(x) \vee P(f(x))).$$

Decide for each of the following statements whether they are true or false:

- (1) If  $\mathcal{A}$  is a  $\Sigma$ -model of  $F$ , then  $P_{\mathcal{A}} \neq \emptyset$  and  $P_{\mathcal{A}} \neq U_{\mathcal{A}}$ .
- (2) There is a  $\Sigma$ -model  $\mathcal{A}$  of  $F$  such that  $U_{\mathcal{A}} = \{7, 8, 9\}$ .
- (3) There is a  $\Sigma$ -model  $\mathcal{A}$  of  $F$  such that  $f_{\mathcal{A}}(a) = f_{\mathcal{A}}(a')$  for all  $a, a' \in U_{\mathcal{A}}$ .
- (4)  $F$  has exactly four  $\Sigma$ -models.
- (5) There are infinitely many Herbrand interpretations over  $\Sigma$ .
- (6) There is an Herbrand model of  $F$  over  $\Sigma$  with a finite universe.
- (7) There is an Herbrand model  $\mathcal{A}$  of  $F$  over  $\Sigma$  and an assignment  $\beta$  such that  $\mathcal{A}(\beta)(f(b)) = \mathcal{A}(\beta)(f(f(b)))$ .

(Note on grading: A yes/no answer is sufficient; you do not have to give an explanation. However, you need at least four correct answers to get any points for this assignment. Missing answers count like false answers.)

**Assignment 3** (*Critical pairs*) (12 points)

Let  $R$  be the following set of rewrite rules over  $\Sigma = (\{f/1, g/2, h/1, c/0\}, \emptyset)$ .

$$f(f(x)) \rightarrow h(h(x)) \quad (1)$$

$$g(f(y), x) \rightarrow g(y, x) \quad (2)$$

$$h(g(z, f(c))) \rightarrow f(z) \quad (3)$$

Give all (non-trivial) critical pairs between the three rules.

**Assignment 4** (*Confluence*) (12 points)

Let  $\Sigma = (\Omega, \emptyset)$  be a finite signature, let  $\succ$  be a simplification ordering. Let  $R$  be a TRS over  $\mathsf{T}_{\Sigma}(X)$  such that  $l \succ r$  for all  $l \rightarrow r \in R$ . Let  $h$  be an  $n$ -ary function symbol in  $\Omega$  (with  $n > 0$ ) that does not occur in any left-hand side of a rule in  $R$ . Prove: If  $R$  is confluent, then  $R \cup \{h(x, \dots, x) \rightarrow x\}$  is confluent.

**Assignment 5** (*Reduction orderings*)

(5 + 5 + 5 = 15 points)

**Part (a)** Let  $\Sigma = (\{f/2, g/2, h/2\}, \emptyset)$ ; let  $R$  be the term rewrite system

$$\{ g(x, f(x, y)) \rightarrow h(y, g(x, y)), \quad h(x, y) \rightarrow g(y, y) \}$$

Is there a lexicographic path ordering  $\succ_{\text{lpo}}$  such that  $\rightarrow_R \subseteq \succ_{\text{lpo}}$ ? If yes, give the precedence of this LPO; if no, explain why such an LPO does not exist.

**Part (b)** Let  $\Sigma = (\{f/2, g/1, h/1, b/0\}, \emptyset)$ ; let  $R$  be the term rewrite system

$$\{ f(g(x), y) \rightarrow g(f(x, x)), \quad h(f(x, b)) \rightarrow g(x) \}$$

Is there a Knuth-Bendix ordering  $\succ_{\text{kbo}}$  such that  $\rightarrow_R \subseteq \succ_{\text{kbo}}$ ? If yes, give the weights and precedence of this KBO; if no, explain why such a KBO does not exist.

**Part (c)** Let  $\Sigma = (\{f/1, g/1, b/0, c/0\}, \emptyset)$ ; let  $R$  be the term rewrite system

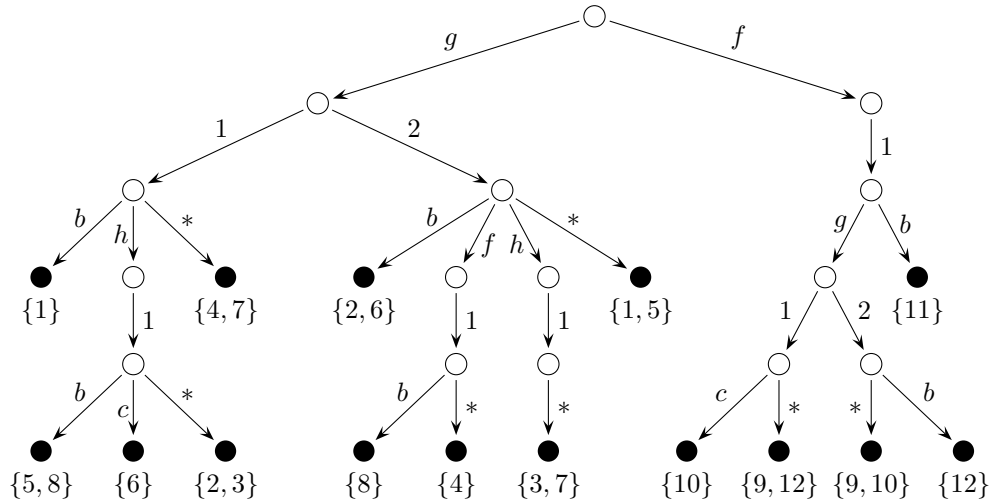
$$\{ f(g(x)) \rightarrow g(g(f(x))), \quad c \rightarrow f(b) \}$$

Is there a polynomial ordering  $\succ_{\mathcal{A}}$  in which the function symbols are interpreted by linear polynomials over  $U_{\mathcal{A}} = \{n \in \mathbb{N} \mid n \geq 1\}$  such that  $\rightarrow_R \subseteq \succ_{\mathcal{A}}$ ? If yes, give the polynomials by which the symbols of  $\Sigma$  are interpreted; if no, explain why such an ordering does not exist.

**Assignment 6** (*Path indexing*)

(3 + 3 + 6 = 12 points)

Consider the following path index:



**Part (a)** Which terms have the numbers 3, 5, and 12 in the path index?

**Part (b)** Which of the terms  $g(*, h(*))$ ,  $f(g(c, b))$ , and  $g(h(*), b)$  are contained in the path index? If they are contained, what are their numbers?

**Part (c)** Assume that the terms in the path index are the left-hand sides of the rewrite rules of a TRS  $R$ . Is the term  $f(g(h(c), f(b)))$  reducible by rules in  $R$ ? If yes, what are the numbers of the left-hand sides of these rules?