

## 1.4 Ordered Binary Decision Diagrams

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see Chapter 6.1/6.2 of Michael Huth and Mark Ryan: *Logic in Computer Science: Modelling and Reasoning about Systems*, Cambridge Univ. Press, 2000.

## 1.5 Normal Forms

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We define **conjunctions** of formulas as follows:

$$\bigwedge_{i=1}^0 F_i = \top.$$

$$\bigwedge_{i=1}^1 F_i = F_1.$$

$$\bigwedge_{i=1}^{n+1} F_i = \bigwedge_{i=1}^n F_i \wedge F_{n+1}.$$

and analogously **disjunctions**:

$$\bigvee_{i=1}^0 F_i = \perp.$$

$$\bigvee_{i=1}^1 F_i = F_1.$$

$$\bigvee_{i=1}^{n+1} F_i = \bigvee_{i=1}^n F_i \vee F_{n+1}.$$

# Literals and Clauses

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A **literal** is either a propositional variable  $P$  or a negated propositional variable  $\neg P$ .

A **clause** is a (possibly empty) disjunction of literals.

# CNF and DNF

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A formula is in **conjunctive normal form (CNF, clause normal form)**, if it is a conjunction of disjunctions of literals (or in other words, a conjunction of clauses).

A formula is in **disjunctive normal form (DNF)**, if it is a disjunction of conjunctions of literals.

Warning: definitions in the literature differ:

are complementary literals permitted?

are duplicated literals permitted?

are empty disjunctions/conjunctions permitted?

# CNF and DNF

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Checking the validity of CNF formulas or the unsatisfiability of DNF formulas is easy:

A formula in CNF is valid, if and only if each of its disjunctions contains a pair of complementary literals  $P$  and  $\neg P$ .

Conversely, a formula in DNF is unsatisfiable, if and only if each of its conjunctions contains a pair of complementary literals  $P$  and  $\neg P$ .

On the other hand, checking the unsatisfiability of CNF formulas or the validity of DNF formulas is known to be coNP-complete.

# Conversion to CNF/DNF

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Proposition 1.8:

For every formula there is an equivalent formula in CNF (and also an equivalent formula in DNF).

Proof:

We consider the case of CNF.

Apply the following rules as long as possible (modulo associativity and commutativity of  $\wedge$  and  $\vee$ ):

Step 1: Eliminate equivalences:

$$(F \leftrightarrow G) \Rightarrow_K (F \rightarrow G) \wedge (G \rightarrow F)$$

# Conversion to CNF/DNF

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Step 2: Eliminate implications:

$$(F \rightarrow G) \Rightarrow_K (\neg F \vee G)$$

Step 3: Push negations downward:

$$\neg(F \vee G) \Rightarrow_K (\neg F \wedge \neg G)$$

$$\neg(F \wedge G) \Rightarrow_K (\neg F \vee \neg G)$$

Step 4: Eliminate multiple negations:

$$\neg\neg F \Rightarrow_K F$$

# Conversion to CNF/DNF

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Step 5: Push disjunctions downward:

$$(F \wedge G) \vee H \Rightarrow_K (F \vee H) \wedge (G \vee H)$$

Step 6: Eliminate  $\top$  and  $\perp$ :

$$(F \wedge \top) \Rightarrow_K F$$

$$(F \wedge \perp) \Rightarrow_K \perp$$

$$(F \vee \top) \Rightarrow_K \top$$

$$(F \vee \perp) \Rightarrow_K F$$

$$\neg \perp \Rightarrow_K \top$$

$$\neg \top \Rightarrow_K \perp$$



# Conversion to CNF/DNF

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Proving termination is easy for most of the steps; only step 3 and step 5 are a bit more complicated.

The resulting formula is equivalent to the original one and in CNF.

The conversion of a formula to DNF works in the same way, except that disjunctions have to be pushed downward in step 5.

# Complexity

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Conversion to CNF (or DNF) may produce a formula whose size is **exponential** in the size of the original one.

# Satisfiability-preserving Transformations

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The goal

“find a formula  $G$  in CNF such that  $\models F \leftrightarrow G$ ”

is unpractical.

But if we relax the requirement to

“find a formula  $G$  in CNF such that  $F \models \perp$  iff  $G \models \perp$ ”

we can get an efficient transformation.

# Satisfiability-preserving Transformations

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Idea: A formula  $F[F']$  is satisfiable if and only if  $F[P] \wedge (P \leftrightarrow F')$  is satisfiable

(where  $P$  is a new propositional variable that works as an abbreviation for  $F'$ ).

We can use this rule recursively for all subformulas in the original formula (this introduces a linear number of new propositional variables).

Conversion of the resulting formula to CNF increases the size only by an additional factor (each formula  $P \leftrightarrow F'$  gives rise to at most one application of the distributivity law).

# Optimized Transformations

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A further improvement is possible by taking the **polarity** of the subformula  $F$  into account.

Assume that  $F$  contains neither  $\rightarrow$  nor  $\leftrightarrow$ . A subformula  $F'$  of  $F$  has **positive polarity** in  $F$ , if it occurs below an even number of negation signs; it has **negative polarity** in  $F$ , if it occurs below an odd number of negation signs.

# Optimized Transformations

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Proposition 1.9:

Let  $F[F']$  be a formula containing neither  $\rightarrow$  nor  $\leftrightarrow$ ; let  $P$  be a propositional variable not occurring in  $F[F']$ .

If  $F'$  has positive polarity in  $F$ , then  $F[F']$  is satisfiable if and only if  $F[P] \wedge (P \rightarrow F')$  is satisfiable.

If  $F'$  has negative polarity in  $F$ , then  $F[F']$  is satisfiable if and only if  $F[P] \wedge (F' \rightarrow P)$  is satisfiable.

Proof:

Exercise.