# **Automated Reasoning I**

**Uwe Waldmann** 

Winter Term 2019/2020

# What is Automated Reasoning?

Automated reasoning:

Logical reasoning using a computer program,

with little or no user interaction,

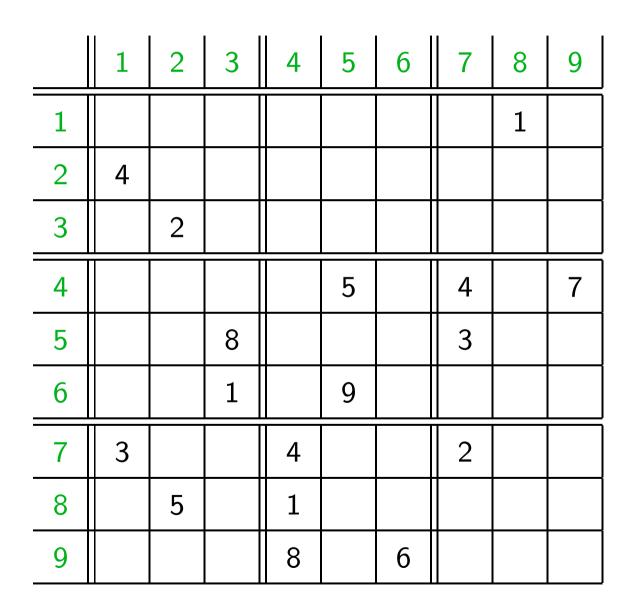
using general methods, rather than approaches that work only for one specific problem.

Two examples:

Solving a sudoku.

Reasoning with equations.

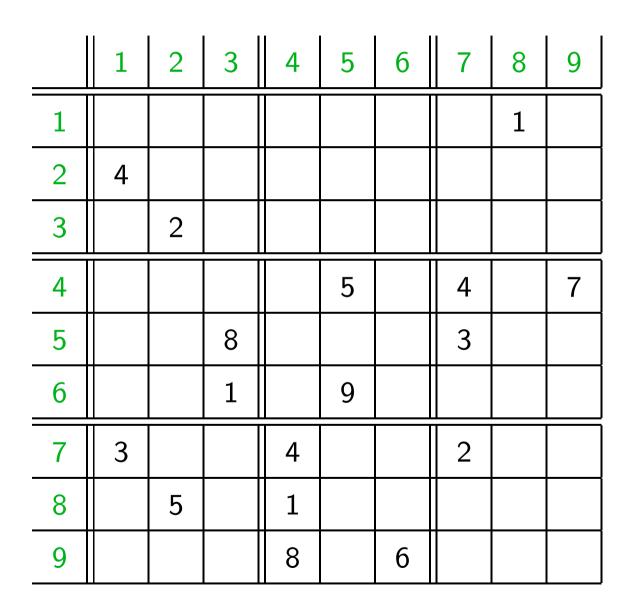
### **Introductory Example 1: Sudoku**



Goal:

Fill the empty fields with digits  $1, \ldots, 9$  so that each digit occurs exactly once in each row, column, and  $3 \times 3$  box

## **Introductory Example 1: Sudoku**

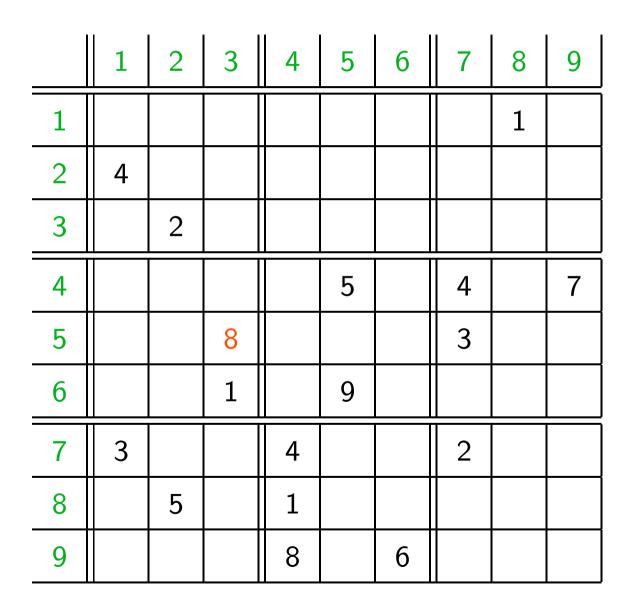


Idea:

Use boolean variables  $P_{i,j}^d$  with  $d, i, j \in \{1, \dots, 9\}$ to encode the problem:

 $P_{i,j}^d$ =true iff the value of square *i*, *j* is *d* 

## Introductory Example 1: Sudoku



Idea:

Use boolean variables  $P_{i,j}^d$  with  $d, i, j \in \{1, \dots, 9\}$ to encode the problem:

 $P_{i,j}^d$ =true iff the value of square *i*, *j* is *d* 

For example:  $P_{5,3}^8 = true$ 

# **Coding Sudoku in Boolean Logic**

- Concrete values result in formulas  $P_{i,i}^d$
- For every square (i, j) we generate  $P_{i,j}^1 \vee \ldots \vee P_{i,j}^9$
- For every square (i, j) and pair of values d < d' we generate  $\neg P_{i,j}^d \lor \neg P_{i,j}^{d'}$
- For every value *d* and row *i* we generate P<sup>d</sup><sub>i,1</sub> ∨ ... ∨ P<sup>d</sup><sub>i,9</sub> (Analogously for columns and 3 × 3 boxes)
- For every value d, row i, and pair of columns j < j' we generate ¬P<sup>d</sup><sub>i,j</sub> ∨ ¬P<sup>d</sup><sub>i,j'</sub> (Analogously for columns and 3 × 3 boxes)

# **Coding Sudoku in Boolean Logic**

Every assignment of boolean values to the variables  $P_{i,j}^d$ so that all formulas become true corresponds to a Sudoku solution (and vice versa). Now use a SAT solver to check whether there is an assignment to the variables  $P_{i,i}^d$  so that all formulas become true:

```
Niklas Eén, Niklas Sörensson:
MiniSat (http://minisat.se/),
```

Beware:

The satisfiability problem is NP-complete.

Every known algorithm to solve it has an exponential time worst-case behaviour (or worse).

# **Coding Sudoku in Boolean Logic**

MiniSat solves the problem in a few milliseconds.

How? See part 2 of this lecture.

Does that contradict NP-completeness? No!

NP-completeness implies that there are really hard problem instances,

it does not imply that all practically interesting problem instances are hard (for a well-written SAT solver).

Some real-life applications of modern SAT solvers:

- hardware verification (model checking)
- with extensions:

. . .

- software verification, hybrid system verification, ....
- checking software package dependencies
- solving combinatory problems
- "The Largest Math Proof Ever" (Marijn Heule)

Task:

Prove: 
$$\frac{a}{a+1} = 1 + \frac{-1}{a+1}$$
.

$$\frac{a}{a+1}$$

$$1 + \frac{-1}{a+1}$$

$$\frac{a}{a+1} = \frac{a+0}{a+1} \qquad \qquad x+0 = x$$
$$1 + \frac{-1}{a+1}$$

(1)

$$\frac{a}{a+1} = \frac{a+0}{a+1}$$
$$= \frac{a+(1+(-1))}{a+1}$$

$$x + 0 = x \tag{1}$$

$$x + (-x) = 0 \tag{2}$$

$$1+rac{-1}{a+1}$$

$$\frac{a}{a+1} = \frac{a+0}{a+1}$$
$$= \frac{a+(1+(-1))}{a+1}$$
$$= \frac{(a+1)+(-1)}{a+1}$$

$$1+rac{-1}{a+1}$$

$$x + 0 = x \tag{1}$$

$$x + (-x) = 0 \tag{2}$$

$$x + (y + z) = (x + y) + z$$
 (3)

$$\frac{a}{a+1} = \frac{a+0}{a+1}$$
$$= \frac{a+(1+(-1))}{a+1}$$
$$= \frac{(a+1)+(-1)}{a+1}$$
$$= \frac{a+1}{a+1} + \frac{-1}{a+1}$$
$$1 + \frac{-1}{a+1}$$

$$x + 0 = x \tag{1}$$

$$x + (-x) = 0 \tag{2}$$

$$x + (y + z) = (x + y) + z$$
 (3)

$$\frac{x}{z} + \frac{y}{z} = \frac{x+y}{z} \tag{4}$$

$$\frac{a}{a+1} = \frac{a+0}{a+1}$$
$$= \frac{a+(1+(-1))}{a+1}$$
$$= \frac{(a+1)+(-1)}{a+1}$$
$$= \frac{a+1}{a+1} + \frac{-1}{a+1}$$
$$= 1 + \frac{-1}{a+1}$$

$$x + 0 = x \tag{1}$$

$$x + (-x) = 0 \tag{2}$$

$$x + (y + z) = (x + y) + z$$
 (3)

$$\frac{x}{z} + \frac{y}{z} = \frac{x+y}{z}$$
(4)

 $\frac{x}{x} = 1 \tag{5}$ 

How could we write a program that takes a set of equations and two terms and tests whether the terms can be connected via a chain of equalities?

It is easy to write a program that applies formulas *correctly*.

But: correct  $\neq$  useful.

 $\frac{a}{a+1}$ 

$$x + 0 = x \tag{1}$$

$$x + (-x) = 0 \tag{2}$$

$$x + (y + z) = (x + y) + z$$
 (3)

$$\frac{x}{z} + \frac{y}{z} = \frac{x+y}{z}$$
(4)

$$\frac{x}{x} = 1 \tag{5}$$

 $\frac{a}{a+1} \longrightarrow \frac{a+0}{a+1}$ 

$$x + 0 = x \tag{1}$$

$$x + (-x) = 0 \tag{2}$$

$$x + (y + z) = (x + y) + z$$
 (3)

$$\frac{x}{z} + \frac{y}{z} = \frac{x+y}{z} \tag{4}$$

$$\frac{x}{x} = 1 \tag{5}$$

$$\frac{a}{a+1} \xrightarrow{a} \frac{a+0}{a+1}$$
$$\frac{a}{a+1} + 0$$

$$x + 0 = x \tag{1}$$

$$x + (-x) = 0 \tag{2}$$

$$x + (y + z) = (x + y) + z$$
 (3)

$$\frac{x}{z} + \frac{y}{z} = \frac{x+y}{z}$$
(4)

$$\frac{x}{x} = 1 \tag{5}$$

$$\frac{a}{a+1} \xrightarrow{a+0} \frac{a+1}{a+1}$$

$$\frac{a}{a+1} + 0$$

$$\frac{a}{a+1} + 0$$

$$\frac{a}{a+1} + 0$$

$$x + 0 = x \tag{1}$$

$$x + (-x) = 0 \tag{2}$$

$$x + (y + z) = (x + y) + z$$
 (3)

$$\frac{x}{z} + \frac{y}{z} = \frac{x+y}{z}$$
(4)

$$\frac{x}{x} = 1 \tag{5}$$

$$\frac{a}{a+1} \rightarrow \frac{a+0}{a+1}$$

$$\frac{a}{a+1} + 0$$

$$\frac{a}{a+1} + 0$$

$$\frac{a}{a+(1+0)}$$

$$\frac{a}{a+\frac{a+2}{a+2}}$$

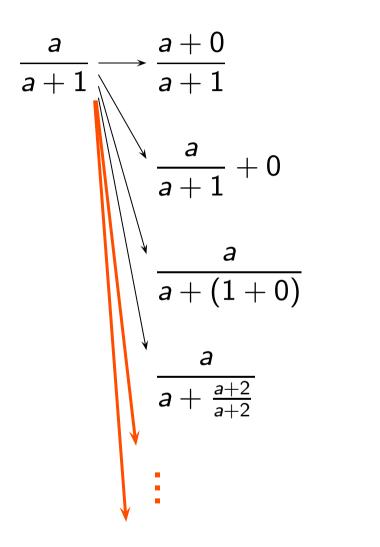
$$x + 0 = x \tag{1}$$

$$x + (-x) = 0 \tag{2}$$

$$x + (y + z) = (x + y) + z$$
 (3)

$$\frac{x}{z} + \frac{y}{z} = \frac{x+y}{z}$$
(4)

 $\frac{x}{x} = 1$  (5)



$$x + 0 = x \tag{1}$$

$$x + (-x) = 0 \tag{2}$$

$$x + (y + z) = (x + y) + z$$
 (3)

$$\frac{x}{z} + \frac{y}{z} = \frac{x+y}{z}$$
(4)

$$\frac{x}{x} = 1 \tag{5}$$

 $1+rac{-1}{a+1}$ 

$$x + 0 = x \tag{1}$$

$$x + (-x) = 0 \tag{2}$$

$$x + (y + z) = (x + y) + z$$
 (3)

$$\frac{x}{z} + \frac{y}{z} = \frac{x+y}{z}$$
(4)

$$\frac{x}{x} = 1 \tag{5}$$

$$1 + \frac{-1}{a+1} \longrightarrow \frac{a+1}{a+1} + \frac{-1}{a+1}$$

$$x + 0 = x \tag{1}$$

$$x + (-x) = 0 \tag{2}$$

$$x + (y + z) = (x + y) + z$$
 (3)

$$\frac{x}{z} + \frac{y}{z} = \frac{x+y}{z} \tag{4}$$

$$\frac{x}{x} = 1$$
 (5)

$$1 + \frac{-1}{a+1} \longrightarrow \frac{a+1}{a+1} + \frac{-1}{a+1}$$
$$\frac{a}{a} + \frac{-1}{a+1}$$

$$x + 0 = x \tag{1}$$

$$x + (-x) = 0 \tag{2}$$

$$x + (y + z) = (x + y) + z$$
 (3)

$$\frac{x}{z} + \frac{y}{z} = \frac{x+y}{z} \tag{4}$$

$$\frac{x}{x} = 1 \tag{5}$$

$$1 + \frac{-1}{a+1} \longrightarrow \frac{a+1}{a+1} + \frac{-1}{a+1}$$
$$\frac{a}{a} + \frac{-1}{a+1}$$
$$1 + \frac{-1}{a+\frac{a}{a}}$$

$$x + 0 = x \tag{1}$$

$$x + (-x) = 0 \tag{2}$$

$$x + (y + z) = (x + y) + z$$
 (3)

$$\frac{x}{z} + \frac{y}{z} = \frac{x+y}{z}$$
(4)

$$\frac{x}{x} = 1 \tag{5}$$

$$1 + \frac{-1}{a+1} \longrightarrow \frac{a+1}{a+1} + \frac{-1}{a+1}$$
$$\frac{a}{a} + \frac{-1}{a+1}$$
$$1 + \frac{-1}{a+\frac{a}{a}}$$
$$1 + \frac{-1+0}{a+1}$$

$$x + 0 = x \tag{1}$$

$$x + (-x) = 0 \tag{2}$$

$$x + (y + z) = (x + y) + z$$
 (3)

$$\frac{x}{z} + \frac{y}{z} = \frac{x+y}{z}$$
(4)

$$\frac{x}{x} = 1 \tag{5}$$

$$1 + \frac{-1}{a+1} \longrightarrow \frac{a+1}{a+1} + \frac{-1}{a+1}$$
$$\frac{a}{a} + \frac{-1}{a+1}$$
$$1 + \frac{-1}{a+\frac{a}{a}}$$
$$1 + \frac{-1+0}{a+1}$$

$$x + 0 = x \tag{1}$$

$$x + (-x) = 0 \tag{2}$$

$$x + (y + z) = (x + y) + z$$
 (3)

$$\frac{x}{z} + \frac{y}{z} = \frac{x+y}{z} \tag{4}$$

$$\frac{x}{x} = 1 \tag{5}$$

Unrestricted application of equations leads to

- infinitely many equality chains,
- infinitely long equality chains.
- $\Rightarrow$  The chance to reach the desired goal is very small.

In fact, the general problem is only recursively enumerable, but not decidable.

A better approach:

Apply equations in such a way that terms become "simpler".

Start from both sides:

• •

A better approach:

Apply equations in such a way that terms become "simpler".

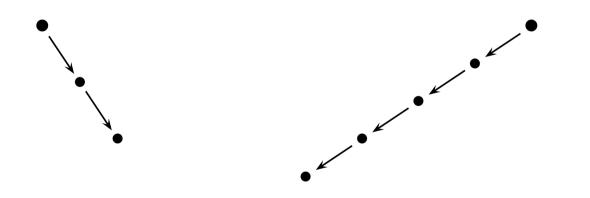
Start from both sides:



A better approach:

Apply equations in such a way that terms become "simpler".

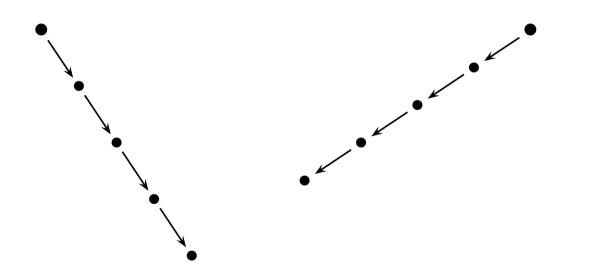
Start from both sides:



A better approach:

Apply equations in such a way that terms become "simpler".

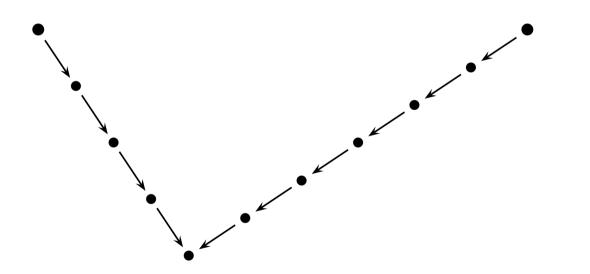
Start from both sides:



A better approach:

Apply equations in such a way that terms become "simpler".

Start from both sides:



The terms are equal, if both derivations meet.

$$x + 0 = x$$
(1)  

$$x + (-x) = 0$$
(2)  

$$x + (y + z) = (x + y) + z$$
(3)  

$$\frac{x}{z} + \frac{y}{z} = \frac{x + y}{z}$$
(4)  

$$\frac{x}{x} = 1$$
(5)

Orient equations.

$$x + 0 \rightarrow x$$
(1)  

$$x + (-x) \rightarrow 0$$
(2)  

$$x + (y + z) \rightarrow (x + y) + z$$
(3)  

$$\frac{x}{z} + \frac{y}{z} \rightarrow \frac{x + y}{z}$$
(4)

$$\frac{x}{x} \to 1$$
 (5)

Orient equations.

Advantage: Now there are only finitely many and finitely long derivations.

$$x + 0 \rightarrow x$$
 (1)

$$x + (-x) \to 0 \tag{2}$$

$$x + (y + z) \rightarrow (x + y) + z \quad (3)$$
$$\frac{x}{z} + \frac{y}{z} \rightarrow \frac{x + y}{z} \qquad (4)$$
$$\frac{x}{x} \rightarrow 1 \qquad (5)$$

Orient equations.

But:

Now none of the equations is applicable to one of the terms

$$\frac{a}{a+1}, \quad 1+\frac{-1}{a+1}$$

$$x + 0 \rightarrow x$$
 (1)

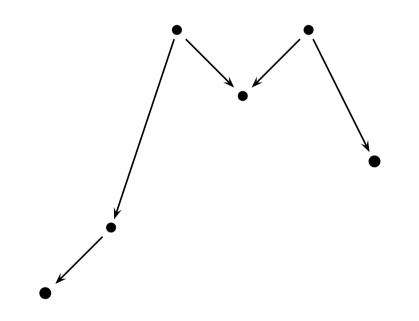
$$x + (-x) \to 0 \tag{2}$$

$$x + (y + z) \rightarrow (x + y) + z$$
 (3)

$$\frac{x}{z} + \frac{y}{z} \to \frac{x+y}{z}$$
(4)

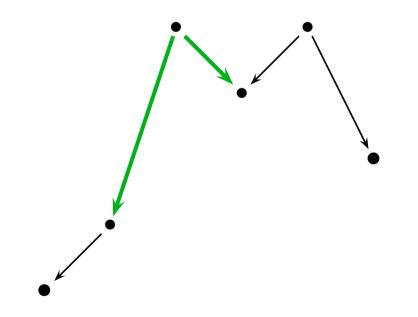
$$\frac{x}{x} \to 1 \tag{5}$$

The chain of equalities that we considered at the beginning looks roughly like this:



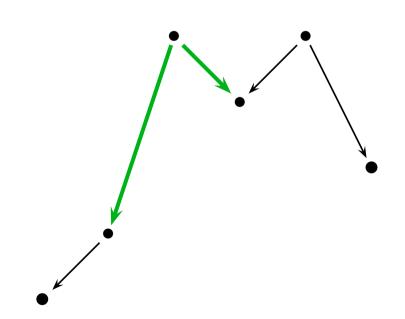
Idea:

Derive new equations that enable "shortcuts".



Idea:

Derive new equations that enable "shortcuts".



From

$$x + (-x) \rightarrow 0$$
 (2)

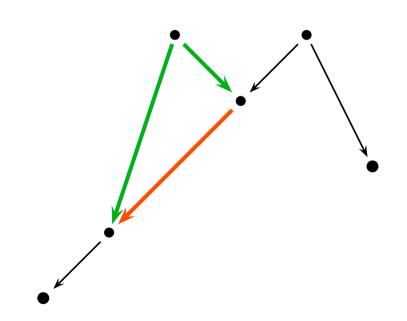
$$x + (y + z) \rightarrow (x + y) + z$$
 (3)

we derive

 $(x+y)+(-y)\to x+0 \quad (6)$ 

Idea:

Derive new equations that enable "shortcuts".



From

$$x + (-x) \rightarrow 0$$
 (2)

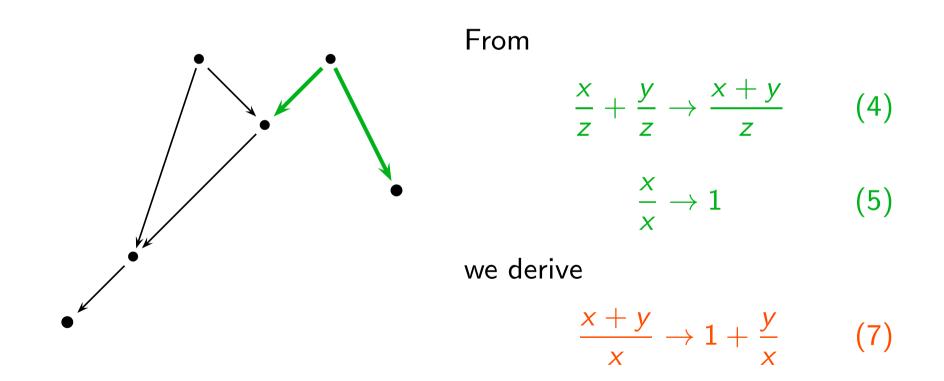
$$x + (y + z) \rightarrow (x + y) + z$$
 (3)

we derive

 $(x+y)+(-y) \rightarrow x+0$  (6)

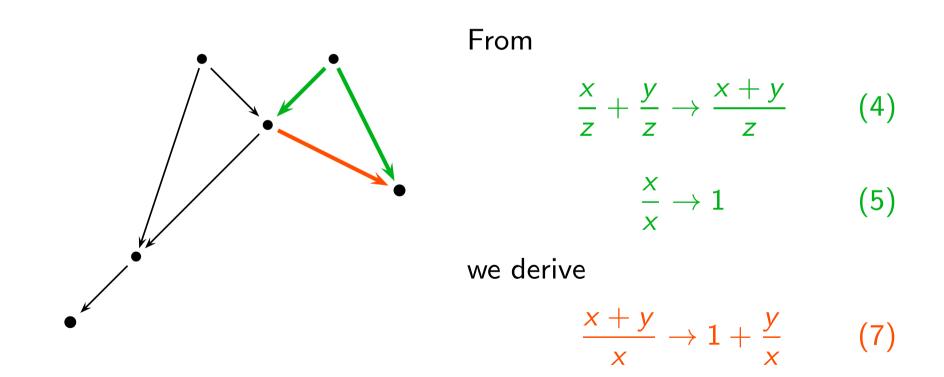
Idea:

Derive new equations that enable "shortcuts".



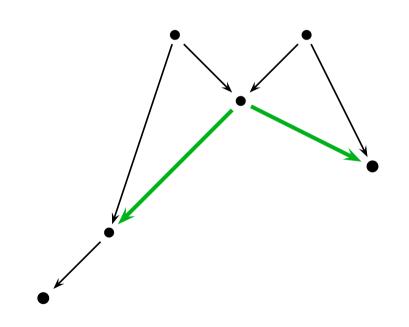
Idea:

Derive new equations that enable "shortcuts".



Idea:

Derive new equations that enable "shortcuts".



From

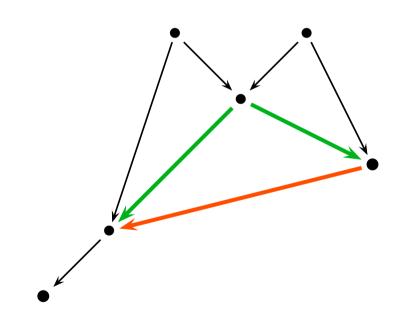
$$(x+y) + (-y) \rightarrow x + 0 \quad (6)$$
$$\frac{x+y}{x} \rightarrow 1 + \frac{y}{x} \quad (7)$$

we derive

$$1 + \frac{-y}{x+y} \to \frac{x+0}{x+y} \qquad (8)$$

Idea:

Derive new equations that enable "shortcuts".



From

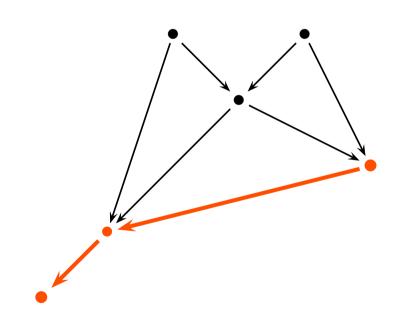
$$(x+y) + (-y) \rightarrow x + 0 \quad (6)$$
$$\frac{x+y}{x} \rightarrow 1 + \frac{y}{x} \quad (7)$$

we derive

$$1 + \frac{-y}{x+y} \to \frac{x+0}{x+y} \qquad (8)$$

Idea:

Derive new equations that enable "shortcuts".



Using these equations we can get a chain of equalities of the desired form.

In fact, it is not necessary to know some equational proof for the problem in advance.

We can derive these shortcut equations just by looking at the existing equation set.

How? See part 4 of this lecture.

# Result

Waldmeister

```
(Thomas Hilenbrand,
    https://www.mpi-inf.mpg.de/departments/
    automation-of-logic/software/waldmeister/)
```

solves the problem in a few milliseconds.

But it's not the solution that we wanted to get!

We have to be more careful in formulating our axioms:

 $\Rightarrow$  Exclude division by zero.

Then we get in fact a "real" proof.

So it works, but it looks like a lot of effort for a problem that one can solve with a little bit of highschool mathematics.

Reason: Pupils learn not only axioms, but also recipes to work efficiently with these axioms.

Result

It makes a huge difference whether we work with well-known axioms

x + 0 = xx + (-x) = 0

or with "new" unknown ones

This difference is also important for automated reasoning:

- For axioms that are well-known and frequently used, we can develop optimal specialized methods.
   ⇒ Computer Algebra
  - $\Rightarrow$  Automated Reasoning II (next semester)
- For new axioms, we have to develop methods that do "something reasonable" for arbitrary formulas.
   ⇒ this lecture
- Combining the two approaches
   ⇒ Automated Reasoning II

Real-life application:

```
Isabelle tactic "Sledgehammer":
```

use general-purpose provers to make interactive proof assistants more automatic.

| ivities 👌 jedit 👻   | Tue 15:32  |         |
|---|--|---------|
|   | Isabelle2018/HOL - ex02.thy (modified)                         |         |
| <u>E</u> dit <u>S</u> earch <u>M</u> arkers F <u>o</u> lding <u>V</u> iew <u>U</u> tilities Ma <u>c</u> ros |  |         |
| 🖆 🕭 🗳 🤌 🥐 👗 🗇 🗖 🗔   |  |         |
| 02.thy (/local/home/salt/stourret-rg1/teaching/cswi-ss19/exe  | ercises_etc/ex02/)   |         |
|   |  |         |
| <pre>lemma in_set_count_ge0:</pre>  |  |         |
| $x \in set ys \Longrightarrow count ys$   | x > 0  |         |
| by (induction ys) auto  |  |         |
| (*>*)   |  |         |
|   |  |         |
| text <first prove="" that:=""></first>  |  |         |
|   |  |         |
| <pre>lemma count_removel_if:</pre>  |  |         |
| $x \in set ys \implies count ($   | removel x ys) xa = (if $x = xa$ then count ys x - 1 else count | ys xa)> |
|   |  |         |
|   |  |         |
|   |  |         |
|   |  |         |
|   |  |         |
|   |  |         |
| 1   |  |         |

| vities 🛛 🧄 jedit 👻  |  |                 | Tue 15:32           |               |  |
|---|--|-----------------|---------------------|---------------|--|
|   | Isabelle2018/HOL - ex02.thy (modified) |                 |                     |               |  |
| <u>E</u> dit <u>S</u> earch <u>M</u> arkers F <u>o</u> lding <u>V</u> iew <u>U</u> tilities Ma <u>c</u> ros |  |                 |                     |               |  |
| 🖆 🔁 🗳 🥱 🥐 👗 🗊 🗋   | 🗞 📑 🖸 🖬 🖉 😹                            | 👍 🔞 🔙 🕨         |                     |               |  |
| 2.thy (/local/home/salt/stourret-rg1/teaching/cswi-ss19/exe   | rcises_etc/ex02/)                      |                 |                     |               |  |
|   |  |                 |                     |               |  |
| <b>lemma</b> in_set_count_ge0:  |  |                 |                     |               |  |
| $x \in set ys \implies count ys$  | x > 0                                  |                 |                     |               |  |
| by (induction ys) auto  |  |                 |                     |               |  |
| (*>*)   |  |                 |                     |               |  |
|   |  |                 |                     |               |  |
| text <first prove="" that:=""></first>  |  |                 |                     |               |  |
| Lemma count_removel_if:   |  |                 |                     |               |  |
| $x \in set ys \implies count ($   | removel v ve) va -                     | (if x - xa then | count ve v - 1 else | count ve val  |  |
|   | Tellover x ys) xa -                    |                 | count ys x - 1 etse | count ys xa// |  |
| sledgehammer  |  |                 |                     |               |  |
|   |  |                 |                     |               |  |
|   |  |                 |                     |               |  |
|   |  |                 |                     |               |  |
|   |  |                 |                     |               |  |
|   |  |                 |                     |               |  |
| •   |  |                 |                     |               |  |

| ivities 🛛 🦓 jedit 👻  | Tue 15:32  |
|--|--|
|  | Isabelle2018/HOL - ex02.thy (modified)                 |
| <u>E</u> dit <u>S</u> earch <u>M</u> arkers F <u>o</u> lding <u>V</u> iew <u>U</u> tilities Ma <u>c</u> ros <u>P</u> lugins <u>H</u> elp |  |
| 🖆 🏝 🛯 👙 🤌 🧩 🗊 📴 🗔 🚷 🗂 🖾 🐼 📧  | S 🔆 🔶 😰 🧔 🖉  |
| 02.thy (/local/home/salt/stourret-rg1/teaching/cswi-ss19/exercises_etc/ex02/)  |  |
|  |  |
| <pre>lemma in_set_count_ge0:</pre>   |  |
| $x \in set ys \implies count ys x > 0$   |  |
| by (induction ys) auto   |  |
| (*>*)  |  |
|  |  |
| text <first prove="" that:=""></first>   |  |
| <pre>lemma count_removel_if:</pre>   |  |
|  | xa = (if x = xa then count ys x - 1 else count ys xa)> |
|  | xa = (II x = xa then count ys x - I etse count ys xa)/ |
| sledgehammer   |  |
|  |  |
|  |  |
|  |  |
|  |  |
| •  |  |
|  | ✓ Proof stat   |
| iledgehammering  |  |
| Proof found  |  |
|  | count removed itself by factforce (24 ms)              |
| 'vampire": Try this: using count_removel c   |  |
| e": Try this: using count_removel count_r  |  |
| cvc4": Try this: using count_removel cour  |  |
| 'z3": Try this: using count_removel count_   | _remove1_itself by fastforce (67 ms)                   |

| tivities 🔌 jedit 👻 Tue 15:32  |             |
|---|-------------|
| Isabelle2018/HOL - ex02.thy (modified) Edit Search Markers Folding View Utilities Macros Elugins Help |             |
| gon gearch Markers Folding View Unitides Magros Eldignis Help   |             |
|   |             |
| 02.thy (/local/home/salt/stourret-rg1/teaching/cswi-ss19/exercises_etc/ex02/)                         |             |
|   |             |
| <pre>lemma in_set_count_ge0:</pre>  |             |
| $x \in set ys \implies count ys x > 0$  |             |
| by (induction ys) auto  |             |
| (*>*)   |             |
|   |             |
| text <first prove="" that:=""></first>  |             |
| lemma count removel if:   |             |
| $x \in set ys \implies count (removel x ys) xa = (if x = xa then count ys x - 1 else count ys$        | v zl v      |
|   | , va),      |
| sledgehammer  |             |
| <pre>using count_remove1 count_remove1_itself by fastforce</pre>                                      |             |
|   |             |
|   |             |
|   |             |
|   |             |
|   | Proof state |
| Sledgehammering   |             |
| Proof found   |             |
|   |             |
| "vampire": Try this: using count_removel count_removel_itself by fastforce (24 ms)                    |             |
| "e": Try this: using count_removel count_removel_itself by fastforce (66 ms)                          |             |
| "cvc4": Try this: using count_removel count_remove1_itself by fastforce (79 ms)                       |             |
| "z3": Try this: using count removel count removel itself by fastforce (67 ms)                         |             |