## 4.7 Unfailing Completion

Classical completion:

Try to transform a set E of equations into an equivalent convergent TRS.

Fail, if an equation can neither be oriented nor deleted.

Unfailing completion (Bachmair, Dershowitz and Plaisted):

If an equation cannot be oriented, we can still use *orientable instances* for rewriting.

Note: If  $\succ$  is total on ground terms, then every ground instance of an equation is trivial or can be oriented.

Goal: Derive a ground convergent set of equations.

Let E be a set of equations, let  $\succ$  be a reduction ordering.

We define the relation  $\rightarrow_{E^{\succ}}$  by

 $s \to_{E^{\succ}} t$  iff there exist  $(u \approx v) \in E$  or  $(v \approx u) \in E$ ,  $p \in \text{pos}(s)$ , and  $\sigma : X \to T_{\Sigma}(X)$ , such that  $s/p = u\sigma$  and  $t = s[v\sigma]_p$  and  $u\sigma \succ v\sigma$ .

Note:  $\rightarrow_{E^{\succ}}$  is terminating by construction.

From now on let  $\succ$  be a reduction ordering that is total on ground terms.

E is called ground convergent w.r.t.  $\succ$ , if for all ground terms s and t with  $s \leftrightarrow_E^* t$  there exists a ground term v such that  $s \rightarrow_{E^{\succ}}^* v \leftarrow_{E^{\succ}}^* t$ . (Analogously for  $E \cup R$ .)

As for standard completion, we establish ground convergence by computing critical pairs.

However, the ordering  $\succ$  is not total on non-ground terms. Since  $s\theta \succ t\theta$  implies  $s \not\preceq t$ , we approximate  $\succ$  on ground terms by  $\not\preceq$  on arbitrary terms.

Let  $u_i \approx v_i$  (i = 1, 2) be equations in E whose variables have been renamed such that  $\operatorname{var}(u_1 \approx v_1) \cap \operatorname{var}(u_2 \approx v_2) = \emptyset$ . Let  $p \in \operatorname{pos}(u_1)$  be a position such that  $u_1/p$  is not a variable,  $\sigma$  is an mgu of  $u_1/p$  and  $u_2$ , and  $u_i \sigma \not\preceq v_i \sigma$  (i = 1, 2). Then  $\langle v_1 \sigma, (u_1 \sigma) [v_2 \sigma]_p \rangle$  is called a semi-critical pair of E with respect to  $\succ$ .

The set of all semi-critical pairs of E is denoted by  $SP_{\succ}(E)$ .

Semi-critical pairs of  $E \cup R$  are defined analogously. If  $\rightarrow_R \subseteq \succ$ , then CP(R) and  $SP_{\succ}(R)$  agree.

Note: In contrast to critical pairs, it may be necessary to consider overlaps of a rule with itself at the top. For instance, if  $E = \{f(x) \approx g(y)\}$ , then  $\langle g(y), g(y') \rangle$  is a non-trivial semi-critical pair.

The *Deduce* rule takes now the following form:

Deduce:

$$\frac{E, R}{E \cup \{s \approx t\}, R} \quad \text{if } \langle s, t \rangle \in \mathrm{SP}_{\succ}(E \cup R).$$

Moreover, the fairness criterion for runs is replaced by

 $\operatorname{SP}_{\succ}(E_* \cup R_*) \subseteq E_{\infty}$ 

(i.e., if every semi-critical pair between persisting rules or equations is computed at some step of the derivation).

Analogously to Thm. 4.37 we obtain now the following theorem:

**Theorem 4.38** Let  $E_0, R_0 \vdash E_1, R_1 \vdash E_2, R_2 \vdash \dots$  be a fair run; let  $R_0 = \emptyset$ . Then

- (1)  $E_* \cup R_*$  is equivalent to  $E_0$ , and
- (2)  $E_* \cup R_*$  is ground convergent.

Moreover one can show that, whenever there exists a reduced convergent R such that  $\approx_{E_0} = \downarrow_R$  and  $\rightarrow_R \in \succ$ , then for every fair and simplifying run  $E_* = \emptyset$  and  $R_* = R$  up to variable renaming.

Here R is called reduced, if for every  $l \to r \in R$ , both l and r are irreducible w.r.t.  $R \setminus \{l \to r\}$ . A run is called simplifying, if  $R_*$  is reduced, and for all equations  $u \approx v \in E_*$ , u and v are incomparable w.r.t.  $\succ$  and irreducible w.r.t.  $R_*$ .

Unfailing completion is refutationally complete for equational theories:

**Theorem 4.39** Let E be a set of equations, let  $\succ$  be a reduction ordering that is total on ground terms. For any two terms s and t, let  $\hat{s}$  and  $\hat{t}$  be the terms obtained from sand t by replacing all variables by Skolem constants. Let eq/2, true/0 and false/0 be new operator symbols, such that true and false are smaller than all other terms. Let  $E_0 = E \cup \{eq(\hat{s}, \hat{t}) \approx true, eq(x, x) \approx false\}$ . If  $E_0, \emptyset \vdash E_1, R_1 \vdash E_2, R_2 \vdash \ldots$  be a fair run of unfailing completion, then  $s \approx_E t$  iff some  $E_i \cup R_i$  contains true  $\approx$  false.

Outlook:

Combine ordered resolution and unfailing completion to get a calculus for equational clauses:

compute inferences between (strictly) maximal literals as in ordered resolution, compute overlaps between maximal sides of equations as in unfailing completion

 $\Rightarrow$  Superposition calculus.